

# Jeffrey Baker

Financial Advisor

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## Profile

Associate advisor supporting a two-partner practice with roughly \$145M in assets across 230 households. Registered with FINRA Series 7 and Series 66, pursuing the Certified Financial Planner credential with two education requirements remaining. Focused on financial plan preparation, client service, and new-account onboarding.

## Professional Experience

**Associate Financial Advisor | Cumberland Financial Partners, Nashville, TN** **2022 – Present**

- Prepare 8-12 client review packets per week, pulling updated performance, allocation drift, and progress toward planning goals in eMoney.
- Handle new-account paperwork and incoming account transfers for 35 households in 2023, coordinating cost-basis carryover with the sending custodian.
- Sit second-chair in annual review meetings, taking notes and owning all follow-up action items in Redtail through completion.
- Built a beneficiary audit process that surfaced 22 accounts with outdated or missing beneficiaries during a firm-wide review.

**Client Service Associate | Cumberland Financial Partners, Nashville, TN** **2021 – 2022**

- Answered inbound client calls on distributions, RMDs, and account access, resolving most requests same-day.
- Processed check and journal requests through the Schwab Advisor Center, catching two mis-keyed wire instructions before release.
- Passed the FINRA Series 7 and Series 66 within the first year on the job.

## Education

**B.B.A., Finance, minor in Economics, Belmont University, 2021**

**CFP Candidate, two education modules remaining, exam scheduled 2025**

**FINRA Series 7 and Series 66**

## Key Skills

- Financial plan preparation in eMoney
- Redtail CRM
- Schwab Advisor Center
- Account opening and incoming asset transfers
- Required minimum distribution calculations
- Beneficiary and titling reviews
- Client meeting notes and follow-up

- Microsoft Excel